

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)
 Approved for use through 10/31/99. OMB 0651-0031
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

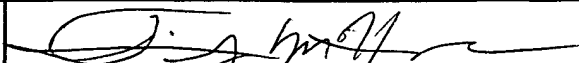
Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	New application
				Filing Date	Herewith
				First Named Inventor	Reed Maltzman
				Group Art Unit	New application
				Examiner Name	New application
Sheet	1	of	1	Attorney Docket Number	03801.P040

JC986 U.S. PTO
 09/18/2003
 09/28/01

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number			
AMH	6,044,363		Mori, et al.	3/28/2000

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No

Examiner Signature		Date Considered	6/15/03
-----------------------	---	--------------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/820,574
		Filing Date	March 28, 2001
		First Named Inventor	Reed Maltzman
		Group Art Unit	2164
Examiner Name	Not Yet Assigned		
Sheet 1 of 9	Attorney Docket Number		003801.P040

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number			
DPH	3,581,072	Nymayer	5/25/1971	3/28/1968
	3,573,747	Adams, et al.	4/6/1971	2/24/1969
	4,412,287	Braddock, III	10/25/1983	9/15/1982
	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
	4,789,928	Fujisaki	12/6/1988	1/30/1987
	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
	4,823,265	Nelson	4/18/1989	5/11/1987
	4,903,201	Wagner	2/20/1990	11/3/1983
	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
	5,101,353	Lupien, et al.	3/31/1992	5/31/1989

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
DPH	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS			
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).		
DPH	MALONE, T.W.; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6.		
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA		
	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1		
	NEO, B.S, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1		

Examiner Signature	Date Considered
	6/15/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		OCT 01 2001 PATENT & TRADEMARK OFFICE		Complete if Known		
		Application Number		09/820,574		
		Filing Date		March 28, 2001		
		First Named Inventor		Reed Maltzman		
		Group Art Unit		2164		
Examiner Name		Not Yet Assigned				
Sheet	2	of	9	Attorney Docket Number		003801.P040

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
SM	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
	5,243,515	Lee	9/7/1993	10/30/1990
	5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
	5,280,422	Moe, et al.	1/18/1994	11/5/1990
	5,297,031	Guterman, et al.	3/22/1994	3/6/1990
	5,297,032	Trojan, et al.	3/22/1994	2/1/1991
	5,305,200	Hartheimer, et al.	4/19/1994	11/2/1990
	5,325,297	Bird, et al.	6/28/1994	6/25/1992
	5,329,589	Fraser, et al.	7/12/1994	6/3/1993
	5,375,055	Togher, et al.	12/20/1994	2/3/1992
	5,394,324	Clearwater	2/28/1995	12/8/1993

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
Office or Country	Number	Date		
SM	Netherland	9300266	2.10.1993	No
	France	2658635-A1	2.6.1991	
	Canada	2,253,543	3/19/1997	Yes

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
SM	ROCKOFF, T.E; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16.	
	MASSIMB, M.N; PHELPS, B.D, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50.	
	POST, D.L; COPPINGER, S.S, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3.	
	HESS, C.M; KEMERER, C.F., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274.	

Examiner Signature	Date Considered
	6/15/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box 

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
(Modified by BSTZ 6/30/99)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

OCT 01 2001

PTO
PATENT & TRADEMARK OFFICE

Complete if Known

Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P040

Sheet 3 of 9

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
<i>SM</i>	5,426,281		Abecassis	6/20/1995	10/29/1993
<i>SM</i>	5,553,145		Micali	9/3/1996	8/4/1995
<i>SM</i>	5,640,569		Miller, et al.	6/17/1997	4/28/1995
	5,664,115		Fraser	9/2/1997	6/7/1995
	5,689,652		Lupien, et al.	11/18/1997	4/27/1995
	5,710,889		Clark, et al.	1/20/1998	6/7/1995
	5,715,402		Popolo	2/3/1998	11/9/1995
	5,717,989		Tozzoli, et al.	2/10/1998	10/13/1994
	5,727,165		Ordish, et al.	3/10/1998	12/27/1994
	5,794,219		Brown	8/11/1998	2/20/1996
	5,818,914		Fujisaki	10/6/1998	3/27/1995
	5,826,244		Huberman	10/20/1998	8/23/1995

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
<i>SM</i>	PCT	WO99/63461	12/9/1999			
	PCT	WO95/17711	6/29/1995			

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
<i>SM</i>	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3.	
	LEE, Ho G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV.	
	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12.	

Examiner Signature

[Signature]

Date Considered

6/15/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box 

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/820,574
		Filing Date	March 28, 2001
		First Named Inventor	Reed Maltzman
		Group Art Unit	2164
Examiner Name	Not Yet Assigned		
Sheet 4 of 9	Attorney Docket Number		003801.P040

U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
9721		5,835,896	Fisher, et al.	11/10/1998	3/29/1996
		5,845,266	Lupien, et al.	12/1/1998	12/12/1995
		5,850,442	Muftic	12/15/1998	3/26/1996
		4,864,516	Gathier, et al	9/5/1989	3/10/1986
		5,205,200	Wright	4/27/1993	1/9/1992
		5,485,510	Colbert	1/16/1996	9/1/1994
		5,557,728	Garrett, et al.	9/17/1996	11/22/1994
		5,598,557	Doner, et al.	1/28/1997	9/22/1992
		5,657,389	Houvener	8/12/1997	5/8/1995
		5,694,546	Reisman	12/2/1997	5/21/1994
		5,706,457	Dwyer, et al.	1/6/1998	6/7/1995
		5,715,314	Payne, et al.	2/3/1998	10/24/1994

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
[Signature]	MARDESICH, J., "Onsale takes auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32.	
	"Onsale joins fray as online shopping picks up speed: INTERNET BOOMS", <u>Computer Reseller News</u> , June 5, 1995, Page 73 (www.dialogweb.com).	
	SIEGMANN, Ken, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42 (www.dialogweb.com).	
	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, (pp 3) Dialog Web. 0489267 BW0022.	

Examiner Signature		Date Considered	6/15/05
--------------------	---	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0851-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/820,574
		Filing Date	March 28, 2001
		First Named Inventor	Reed Maltzman
		Group Art Unit	2164
Examiner Name	Not Yet Assigned		
Sheet 5 of 9	Attorney Docket Number		003801.P040

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
[Signature]	5,771,380	Tanaka, et al.	6/23/1998	10/7/1996
	5,771,291	Newton, et al.	6/23/1998	12/11/1995
	5,799,285	Klingman	8/25/1998	8/30/1996
	5,803,500	Mossberg	9/8/1998	3/27/1997
	5,845,265	Woolston	12/1/1998	11/7/1995
	5,872,848	Romney, et al.	2/16/1999	2/18/1997
	5,873,069	Reuhl, et al.	2/16/1999	10/13/1995
	5,884,056	Steele	3/16/1999	12/28/1995
	5,890,138	Godin, et al.	3/30/1999	8/26/1996
	5,905,974	Fraser, et al.	5/18/1999	12/13/1996
	5,905,975	Aussubel	5/18/1999	1/2/1997
[Signature]	5,922,074	Richard, et al.	7/13/1999	2/28/1997

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
[Signature]	SCHMID, B.F., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10.	
	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", Norwegian Computing Center, No Date Given, www.nr.no/gem/elcom/publikasjoner/enter98e.html .	
	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given.	
[Signature]	RECK, Martin, "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given.	

Examiner Signature		Date Considered	6/15/05
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		OCT 01 2001 U.S. PATENT & TRADEMARK OFFICE		Complete if Known		
		Application Number		09/820,574		
		Filing Date		March 28, 2001		
		First Named Inventor		Reed Maltzman		
		Group Art Unit		2164		
Examiner Name		Not Yet Assigned				
Sheet	6	of	9	Attorney Docket Number		003801.P040

U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
9/21		5,926,794	Fethe	7/20/1999	3/6/1996
		5,991,739	Cupps, et al.	11/23/1999	11/24/1997
		6,035,402	Vaeth, et al.	3/7/2000	12/20/1996
		6,055,518	Franklin, et al.	4/25/2000	11/12/1996
		6,058,417	Hess, et al.	5/2/2000	10/23/1998
		6,073,117	Oyanagi, et al.	6/6/2000	3/13/1998
		6,104,815	Alcorn, et al.	8/16/2000	1/9/1998
		5,873,069	Reuhl, et al.	2/16/1999	10/13/1995
		5,803,500	Mossberg	9/8/1998	3/27/1997
		5,799,285	Klingman	8/25/1998	8/30/1996
		5,715,314	Payne, et al.	2/3/1998	10/24/1994
		5,722,418	Bro	3/3/1998	9/30/1994

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
9/24	VAN HECK, E.; RIBBERS, P.M., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given.	
	LEE, H.G., "AUCNET: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given.	
	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given	
	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given.	

Examiner Signature		Date Considered	6/15/03
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known		
		Application Number	09/820,574	
		Filing Date	March 28, 2001	
		First Named Inventor	Reed Maltzman	
		Group Art Unit	2164	
Examiner Name	Not Yet Assigned			
Attorney Docket Number	003801.P040			
Sheet	7	of	9	

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
<i>[Signature]</i>	5,924,072	Havens	7/13/1999	1/6/1997
	5,974,412	Hazelhurst, et al.	10/26/1999	9/24/1997
	5,596,994	Bro	1/28/1997	5/2/1994

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country Number Date			

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
<i>[Signature]</i>	ZWASS, Vladimir, "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1, pp 3-23 (www.cba.bgsu.edu).	
	PRIEST, Chris; van TOL, Maarten, "Adaptive agents in a persistent shout double auction", Proceedings of the First International Conference on Information and Computation Economies, October 25-281, 1998, Charleston, US.	
	RESNICK, Paul; ZECKHAUSER, Richard; FRIEDMAN, Eric; KUWABARA, Ko, "Reputation Systems", Association for Computing Machinery, <u>Communications of the ACM</u> , vol. 43, no. 12, pp 45-48 ((Dialog	
	MEADE, Jim, visual 360: a performance appraisal system that's "fun", <u>HR Magazine</u> , July, 1999 (Dialog file).	

Examiner Signature: <i>[Signature]</i>	Date Considered: 6/15/05
--	--------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

OCT 01 2001
 PATENT
 CLOSURE
 APPLICANT
 TRADEMARK OFFICE

(use as many sheets as necessary)

Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P040

Sheet 8 of 9

[illegible]

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No

Thomson

6/15/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/820,574
				Filing Date	March 28, 2001
				First Named Inventor	Reed Maltzman
				Group Art Unit	2164
				Examiner Name	Not Yet Assigned
Sheet	9	of	9	Attorney Docket Number	003801.P040

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate

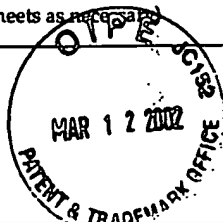
FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No

Examiner Signature		Date Considered	6/15/05
-----------------------	--	--------------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 3801P040	Application Number: 09/820,574
Page 1 of 1		First Named Inventor: Reed Maltzman	COPY OF PAPERS ORIGINALLY FILED
		Filing Date: March 28, 2001	



U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document- MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			

RECEIVED

MAR 18 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³ Code ⁵	Number ⁴	Kind (If known)				

OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		International Search Report-PCT/US01/31012, January 15, 2002.	
		Autodaq Creates Online Marketplace For Used Auto Industry, Business Wire, January 17, 2000, entire document.	

Examiner Signature		Date Considered	6/13/05
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known		
Application Number		09/820,574				
Filing Date		March 28, 2001				
First Named Inventor:		Reed Maltzman				
Group Art Unit		2164				
Examiner Name		Not yet assigned				
Attorney Docket Number		3801.P040				
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
		5,790,790		Smith, et al.	08-04-1998	
		5,845,265		Woolston	12-01-1998	
		6,047,264		Fisher, et al.	04-04-2000	
		6,061,448		Smith, et al.	05-09-2000	
		6,085,176		Woolston	07-04-2000	
		6,119,137		Smith, et al.	09-12-2000	
		6,178,408	B1	Copple, et al.	01-23-2001	
		6,192,407	B1	Smith, et al.	02-20-2001	
		6,202,051	B1	Woolston	03-13-2001	
	V		6,243,691	B1	Fisher, et al.	06-05-2001
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> RECEIVED JUL 12 2002 GROUP 3000 </div>						

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^o
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner Signature:	Date Considered: 6/13/05
---------------------	--------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	09/820,574
Sheet	1	of	1	Filing Date	March 28, 2001
				First Named Inventor	Reed Maltzman
				Art Unit	3628
				Examiner Name	Unknown
				Attorney Docket Number	3801P040

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
DMJ		INA STEINER, "From the Editor", Auction Bytes newsletter, Auctionbytes-Update, Number 8 - February 20, 2000 - ISSN 1529-6703, 3 pages.	
		DAVID LUCKING-REILEY, "AUCTIONS ON THE INTERNET: WHAT'S BEING AUCTIONED, AND HOW?", The Journal of Industrial Economics, September 2000, Volume XLVIII, No. 3, pg. 227-252.	
		ZOLTAN HIDVEGI, et al., "Buy-price English Auction", September 1, 2002, 28 pages.	
		ERIC B. BUDISH and LISA N. TAKEYAMA, "Buy prices in online auctions: irrationality on the internet?", Economics Letters, 2001, pg. 325-333.	
		STANLEY S. REYNOLDS and JOHN WOODERS, "Ascending Bid Auctions with a Buy-Now Price", August 2002, 29 pages.	
		TIMOTHY MATHEWS, "A Risk Averse Seller in a Continuous Time Auction with a Buyout Option", September 12, 2002, 26 pages.	

Examiner Signature		Date Considered	6/13/05
--------------------	--	-----------------	---------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number. †Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.